

# **REQUEST FOR PROPOSALS** FY 2023 Notice of Funding Opportunity (NOFO)

U.S. Department of Housing & Urban Development (HUD) Community Development Block Grant (CDBG) HOME Investment Partnership Program

2/21/2023

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#### Program:

Department of Housing & Urban Development (HUD)

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program

Funding Opportunity Title: "2023 HUD Grants NOFO"

Due Date for Proposals: March 17, 2023 by 4:30 p.m.

## I. Overview

The City of Fargo issues this Notice of Funding Opportunity (NOFO) to invite proposals from eligible applicants for the program and purpose described within this NOFO. Prospective applicants should carefully read all instructions in all sections to avoid submitting an incomplete or ineligible proposal. All proposals will be evaluated using the Exhibit B Score Sheet.

During the selection process, the City of Fargo is prohibited from disclosing 1) information regarding any applicant's relative standing, 2) the amount of assistance requested by an applicant, and 3) any information contained in the application. Prior to the application deadline, the City of Fargo may not disclose the identity of any applicant or the number of applicants that have applied for assistance.

For further information or questions regarding the specific requirements of this NOFO, please contact <u>planning@fargond.gov</u> or call 701-241-1474.

## II. Funding Opportunity Description

## A. Program Description & Purpose

The City's 2023 Community Development & Housing Action Plan allocates the City's annual entitlement funds from the Department of Housing and Urban Development (HUD). Plan goals are established in the 2020-2024 Consolidated Plan, which focus on affordable housing, ending and preventing homelessness, neighborhood improvements, and poverty reduction. The primary purpose of these funds is to benefit low and moderate income individuals, households, and neighborhoods/areas.

## B. Award Information

<u>Available Funds</u> – The City of Fargo estimates a combined CDBG & HOME award of \$1,328,194 for the 2023 Program Year (May 1, 2023- April 30, 2024), \$915,000 of which will be made available through this NOFO. The total amount of funds actually awarded through this NOFO will depend on proposals received, City of Fargo staff capacity, City of Fargo programs funded by HUD, corresponding staff, and other grant administration costs (e.g., Gladys Ray Shelter & Downtown Engagement Center). Proposals must request a minimum of \$150,000.

<u>Number of Awards</u> – The City of Fargo may make as few as 1 award or up to 3 awards through this NOFO, or may make no awards based on current program operations and/or insufficient response to this NOFO.

**Period of Performance** – Estimated Project Start Date is September 1, 2023 (actual start date depends on when funds are available from HUD); Estimated Project End Date is September 2024, unless otherwise approved. Period of Performance will be project dependent as some projects are multi-year phased.

<u>Minimum/Maximum Award Information</u> – Note that Community Housing Development Organization (CHDO) Set-Aside of \$100,000 is not included in HOME amounts listed below. Applicant's CHDO certification status must be current prior to applying for CHDO funds. To learn more about CHDO status, please visit the HUD Exchange online or contact the City of Fargo Planning & Development Department. **Proposals must request a minimum of \$150,000.** 

NOFO AWARD INFORMATION	CDBG	HOME
Estimated Available:	\$545,000 +/-	\$270,000 +/-
Minimum Award Amount:	\$0	\$0
Maximum Award Amount:	\$545,000 +/-	\$270,000 +/-

## C. Eligibility Information

<u>Eligible Applicant</u> – To be eligible, applicants must be a public or private non-profit agency or organization, including institutions of higher learning if carrying out eligible activities. Additionally, registration in the System of Award Management (<u>www.sam.gov</u>) must be complete showing no debarments.

<u>Eligible Activities</u> – All activities must serve low to moderate income households, individuals, or neighborhoods and address one or more of the goals/project types listed in this section.

<u>Ineligible Activities</u> – Financing for political activities, maintenance costs or activities (unless associated with a public service), income payments.

#### D. Project Types

**Solicited Project Types** – Proposals must be for one of the following project types.

#### AFFORDABLE HOUSING DEVELOPMENT

May include acquisition, construction assistance (HOME program only), blight removal, and/or site preparations (excluding special assessments costs).

- 1. Homeownership development projects (i.e., building single family or lower density housing for homeownership, 4 or fewer units)
- 2. Multi-family rental projects (i.e., includes 5 or more units); preference given to projects serving families with children and those targeting 30% AMI or less
- 3. Rehabilitation of public housing units through Rental Assistance Demonstration (RAD) conversions.

#### **NEIGHBORHOOD IMPROVEMENTS**

Improvements to public facilities and spaces serving neighborhoods with 51% or more low income households. These neighborhoods primarily include: Downtown, Horace Mann, Roosevelt, Madison/Unicorn Park, Jefferson/Carl Ben, Hawthorne, Westgate, Brunsdale, West Acres, Willow Park, Village West, Trollwood, and Northport. Projects may include acquisition, new construction, rehabilitation of existing facilities and/or spaces, and demolition. Examples include playgrounds, parks or open green space, swimming pools, splash pads, skate parks, sledding hills, bike paths, basketball courts, hockey rinks, recreational or neighborhood centers, tornado shelters for mobile home parks, community gardens, non-residential historic restoration, etc.

If project serves a specific population (e.g., youth, elderly, etc.) rather than the entire neighborhood, use the "Non-Homeless, Non-Housing Capital Improvements" category below.

#### NON-HOMELESS, NON-HOUSING CAPITAL IMPROVEMENTS

Improvements to public facilities and spaces serving populations with low income and who may be considered vulnerable (e.g., elderly, disabled, victims of violence and trafficking, people living with substance use disorders and/or mental illnesses, and/or HIV/AIDS, etc.). These projects do not have to tieback to a specific neighborhood. Projects may include acquisition, new construction, rehabilitation of existing facilities and/or spaces, and demolition. Examples include abuse and crisis centers, mental health facilities, independent living centers, accessibility improvements, supportive service and resource centers, senior centers, food banks, etc.

Applicants awarded under this category must be able to collect data on race, ethnicity, and income of all beneficiaries of the project. A portion of income documentation collected must be provided by 3<sup>rd</sup> parties (i.e., employers, income statements for benefits, retirement accounts, bank, etc.). There are some exceptions on income data collection depending on the populations served. If all project beneficiaries belong to a group as defined by HUD below, they are presumed to have low incomes and income documentation is not required (race and ethnicity still required).

#### Presumed Low Income Populations by HUD

• Abused children, battered spouses, elderly persons, severely disabled adults, illiterate adults, persons living with AIDS and migrant farm workers.

Projects awarded under this category must also keep the facility open to the public during normal working hours if the facility is owned by a non-profit.

Projects under this category may not primarily serve homeless populations because there is a separate category for funding homelessness projects in the City's 5-Year Plan. Homelessness will be addressed as part of a larger effort with homeless service providers and Public Health, and not through this NOFO.

Projects may not include capital improvements that are considered maintenance and repair (i.e., filling potholes, repairing cracks in sidewalks, replacing old equipment, etc.).

## III. Applicant and Submission Information

### I. Instructions

- **A.** Submit proposal (as a single PDF) no later than 4:30 p.m. on March 17, 2023, at <u>https://fileshare.fargond.gov/index.php/s/L5QERsjx4Lq26dp</u>
- B. PDF file title must include your organization's name (e.g., "Audubon Society\_ 2023 NOFO Proposal")
- **C.** Incomplete proposals will not be accepted

#### II. Content & Format of Proposals

- A. NOFO Cover Form (see Exhibit A)
- B. <u>Cover Letter (1 page)</u>: Include organization's basic information, brief summary of proposed project, the amount requested, project location, project lead person, sub-consultants that would be involved in project if applicable, and contact information.
- C. <u>Project Summary (1 page)</u>: Include the full project description, beneficiary information in terms of how many people the project will serve, and who you intend to serve.
- D. <u>Development Budget</u>: Provide budget for the proposed project with the amount to be requested included. Indicate any funding already secured with amounts and sources. Include pending sources.
- E. <u>Project Timeline</u>: Include start/finish dates and milestones.
- F. <u>Project Experience</u>: Provide details about your experience with CDBG or HOME funds and similar projects (i.e., grant amount, projects, dates, etc.).
- G. <u>Project Team</u>: Indicate lead manager and any consultants that will be used.
- H. <u>References</u>: Only required if either of the following conditions exist:
  - 1. Your organization has never received HUD (HOME or CDBG) funds from the City of Fargo.
  - 2. Your organization has received HUD (HOME or CDBG) funds from the City of Fargo in the past, but this is a new project type for which you are seeking City of Fargo funds.
    - i. If either of these conditions exist, please include references for 3 most recent (within past 5 years) similar projects; include contact information. If proposal is program based, provide 3 references from funders.
- I. <u>Proof of SAM Registration</u>: Include current registration status in the System for Award Management (See below).

#### III. System for Award Management (SAM) and Unique Entity Identifier (UEI) Number.

- A. SAM Registration Requirement
  - 1. Applicants must have active registration status in the System of Award Management <u>https://www.sam.gov/</u> before submitting their proposal.
  - 2. UEI Number Requirement

i. Applicants must provide a valid UEI number, registered and active at <u>https://sam.gov/content/entity-registration</u>.

## VI. Review Criteria & Selection Process

## I. Review Criteria

A. See Scoring Sheet in Exhibit B.

### **II. Selection Process**

A. Project selection will be based on Scoring Sheet results and availability of funds.

## IV. Award Administration Information

HUD funds are subject to many federal requirements. Applicants are expected to be experienced with federal environmental review regulations, fair labor laws including Davis Bacon Prevailing Wage requirements, Section 3 economic opportunities for low income workers, Uniform Relocation Act, accessibility standards, and Build America Buy America (BABA – required in all bid requests – to use American steel, iron, manufactured products, and construction materials).

## V. Schedule

Schedule is contingent on availability of funds.

DATE	ITEM					
Feb. 22	Public notice and news release of NOFO & Virtual Public Input Meeting					
Mar. 10	Virtual Public Input Meeting					
	March 10, 2023, 12:00 – 1:00 PM (Central)					
	Meeting Link: <u>https://meet.goto.com/173077949</u>					
	Dial-In Phone Option: 1 (408) 650-3123 – Access Code: 173-077-949					
	Comments and suggestions also encouraged through email and telephone.					
Mar. 17	r. 17 Electronic proposals due by 4:30 p.m.					
Mar. 20-24	Proposal scoring/review (estimated)					
Mar. 27	Highest scoring proposals identified, organizations notified via email					
	address provided (estimated)					
May 11 – Jun. 9	30 day public comment period for 2023 HUD Action Plan (includes any					
	selected proposals and award amounts)					
May 30	Public hearing at City Commission meeting					
June 12	Final consideration at City Commission (on consent)					
June 16	Submit to HUD (estimated)					
Jul 1	45 day review by HUD ends (estimated)					
Jul 15	Plan approval (estimated)					
August	HUD funds awarded to City of Fargo (estimated)					
September	Start on 2023 program year projects (estimated)					

#### Exhibit A – Cover Form



## **COVER FORM**

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Instructions: This form must be the first page of your proposal and filled in completely for your proposal to be accepted. Do not leave anything blank. If you have questions, please call the Planning & Development Department at 701.241.1474 or email <u>planning@fargond.gov</u>.

Organization	
Project Title	
Primary Contact Person	
- Phone	( )
- Email	
UEI (Unique Entity Identifier Number)	
Requested CDBG Amount	\$
Requested HOME Amount	\$
Requested Combined Amount	\$

#### Exhibit B – Proposal Score Sheet



# COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) & HOME FUNDS SCORE SHEET

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Organization	
Project Title	
Evaluator	
SCORE (150 points possible)	/ 150

## a. MINIMUM SUBMISSION REQUIREMENTS: \_\_\_\_ / 15

		Yes – 3	No – 0	Score
1.	Complete Proposal Submitted			
2.	Proposal Submitted On Time			
3.	System for Award Management (SAM) Registration			

## b. FUNDING INFORMATION: \_\_\_\_ / 35

BUDGET		BUDGET									
10	7	5	3	1	0	Score					
Provided a highly detailed and realistic budget with a strong use of funds		Budget appears to support the project as described. Some gaps in detail identified		Budget is significantly incomplete or insubstantial	No budget provided; budget not reflective of actual costs						

PERCENTAGE OF OVERALL PROJECT BUDGET REQUESTED									
10	7	5	3	1	0	Score			
Less than	Between	More than	More than	More than	100%				
15%	15-25%	25%	50%	75%					

## OTHER FUNDING SOURCES

(i.e., fees, donations, other grants) that organization has received or will pursue to support the proposed project

10	7	5	3	1	0	Score	
Significant financial		Some financial		Minimal financial			
support from other		support from other		support from other			
funding sources is		funding sources is		funding sources is			
demonstrated		demonstrated		demonstrated			

FUNDING IMPACT									
Organization's ability t	Organization's ability to carry out the project if this request is not funded or only partially funded –								
these funds are genera	ally ava	ilable to fill final gaps	on proj	ects, but City of Fargo at	times will	fully			
fund projects that wou	uld not	happen at all without	HUD fu	nds					
5	4	3	2	1	0	Score			
Project will still		Project will still		Project will not likely	Project				
occur or continue,		occur or continue,		be successful without	will not				
but it will not thrive		but its		City of Fargo funding	happen				
at its full capacity		sustainability or			without				
without City of Fargo		long term			City of				
funding or subsidy		affordability is at			Fargo				
will be limited		risk			funding				

Notes:

# c. PROJECT/PROGRAM OVERVIEW: \_\_\_\_ / 50

DEMONSTRATED KNO	DEMONSTRATED KNOWLEDGE OF COMMUNITY NEEDS/5-YEAR PLAN GOALS								
15	10		5	0	Score				
Project has a clear	Project has a		Project has a	Project is					
relationship to the	general		relationship to the	not					
priority needs	relationship to the		needs stated within	identified					
stated within the	needs stated within		the City of Fargo's	as a					
City of Fargo's	the City of Fargo's		Consolidated Plan,	community					
Consolidated Plan;	Consolidated Plan;		but the goal has	need in					
it will fulfill a unique	however, the need		already been met,	any local					
or otherwise	is not a priority; it		or is not (or no	plans or					
unfulfilled goal	will fulfill a goal		longer) a priority	studies					
			need						

PROJECT DESCRIPTION								
10	7	5	3	1	0	Score		
Provided a clear		Somewhat clear		Vague, incomplete,	No			
summary of the		summary of the		or unclear summary	details			
project with specific		project with some		of the project with	provided			
details		specific details		few details				

MEASURES AND OUTCOMES								
10	7	5	3	1	0	Score		
Clear definition of success for the project; clear explanation given for demonstrating how outcomes are met		Good understanding of anticipated and specific results of success, but some details are missing		Success is difficult to ascertain, method to measure outcomes is deficient (e.g., untestable or unreportable outcomes)	Measurement of goals and outcomes not described			

NEW OR EXISTING PROJECT/PROGRAM							
5	4	3	2	1	0	Score	
Proposed project is		Proposed project will		Proposed project	No		
new to the area or		fill a gap, but it is not		unnecessarily	details		
a necessary increase to an		a critical gap; meets a need		duplicates an existing project;	provided		
existing service;		inced.		existing projects			
critical gap will be				fully meeting the			
filled				need			

COLLABORATIVE EFFORTS									
5	4	3	2	1	0	Score			
Organization clearly		Organization		No collaboration with	No				
demonstrates how		demonstrates		other projects/programs	details				
the proposed		possibilities for			provided				
project		how the proposed							
complements		project may							
existing services;		complement							
collaboration with		existing services,							
other projects		but collaboration							
and/or programs is		with other							
strong		providers in the							
		area is limited							

PROPOSED TIMELINE								
5	4	3	2	1	0	Score		
Timeline provided		Timeline provided		Timeline provided is	No			
is reasonable and		is sufficient, but		lacking in	timeline			
realistic; funds are		some details are		detail/unrealistic;	provided			
likely to take 6		missing; funds		funds are likely to take				
months or less to		may take 7 – 10		10+ months to expend				
expend (HOME –		months to expend		(HOME – 2+ years)				
12 months)		(HOME – 1-2						
		years)						

Notes:

# d. ORGANIZATION CAPACITY AND HISTORY: \_\_\_\_ / 30

ORGANIZATION DEMONSTRATES EXPERIENCE DELIVERING SIMILAR PROJECT/PROGRAM								
5	4	3	2	1	0	Score		
Organization		Organization has		Organization does	No			
demonstrates		gaps in experience		not demonstrate	details			
significant		or knowledge but		the experience or	provided			
experience or		demonstrates that it		knowledge to carry				
expertise in the field		can reasonably		out the project				
as it relates to		bridge gaps to						
proposed project		successfully carry						
		out project						

PREVIOUS EXPERIENCE WITH HUD GRANTS AND FUNDING							
5	4	3	2	1	0	Score	
Organization is very		Organization has		Organization is	No		
familiar with HUD		some familiarity		unfamiliar with HUD	details		
grant requirements,		with HUD grant		grant requirements.	provided		
received 3 or more		requirements,		Has never received			
HUD grants		received 1 – 2 HUD		HUD grants before			
throughout its		grants throughout					
lifespan		its lifespan					

ORGANIZATION ADMINISTRATIVE CAPACITY								
10	7	5	3	1	0	Score		
Organization will		Organization will		Organization will	Organization			
likely need		likely need some		likely need	does not			
minimal oversight		oversight from		extensive	demonstrate			
from City of Fargo		City of Fargo staff		oversight from City	capacity to carry			
staff to correctly		to correctly		of Fargo staff to	out			
complete all		complete all HUD		correctly complete	project/program;			
required HUD and		and City		all HUD and City	no details			
City paperwork,		paperwork,		paperwork,	provided			
documentation		documentation		documentation				
and reporting		and reporting		and reporting				
requirements		requirements		requirements				

ORGANIZATION GRANT HISTORY								
10	7	5	3	1	0	Score		
Organization has		Organization		Organization has no	Has been			
received grants from		has received		grant history with the	required to			
the City of Fargo		grants from the		City of Fargo and	repay or			
with a positive		City of Fargo;		limited history with	forfeit grant			
history of		demonstrated		other funders; has	funds, had			
successfully carrying		challenges, but		poorly executed grant	to cancel a			
out and completing		still had		history/management;	project, has			
projects; has		successful		failed to complete a	mismanaged			
positive outcomes		outcomes and		project within	grant funds			
and effective grant		general ability		reasonable time.				
management		to manage grant						
		requirements						

Notes:

## e. CITY STAFF CAPACITY AND CONSIDERATIONS: \_\_\_\_ / 20

STAFF CAPACITY								
20	16	12	8	4	0	Score		
Project will		Project will		Project will	Staff has			
require an average amount of staff time to oversee; staff are familiar with the federal regulations required for this project and are able to manage it along with		require an additional amount of staff time to oversee due to federal regulations and requirements; some consideration would have to be taken for		require extensive staff time due to federal regulations and requirements involved with activity type; the required staff time would significantly impact the office's ability to fund and	not carried out the project and does not foresee ability or time to carry out project			
other projects		what other projects could be funded if this project was accepted		ability to fund and manage additional and existing projects	type in the 2023 program year			

Notes: